

RF[™] Self Study for Wealth Management and IRAs Supporting Professionals Committed to a Superior Standard of Care

GOALS OF THE COURSE

- Enable professionals to operate at a superior standard of care
- Make a compelling case for choosing professionals with an RF™
- Comply with present and future regulations
- Maintain a profitable business with rising revenue
- Maximize the market value of the professional practice

WHO SHOULD TAKE THE COURSE

Advisors whose primary business is providing investment advice and/or investment management services to wealthy individuals.

BENEFITS OF TAKING THE COURSE

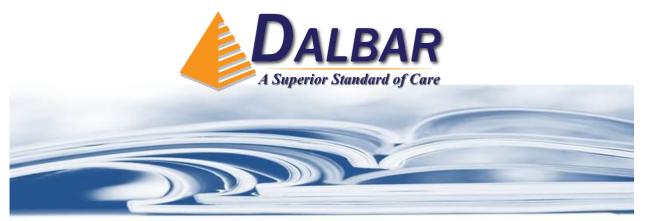
- Differentiate the practice from less qualified professionals
- Increase capacity to add new business
- Qualify to become a Registered Fiduciary (RF™)
- Dalbar certification of Wealth Management and IRA knowledge

COURSE CONTENT

DALBAR, Inc.

- Module 1: Overview of RF™
- Module 2: The Certain Uncertainty
- Module 3: Fiduciary Risks & Liabilities
- Module 4: Tax Advantaged Arrangements
- Module 5: Fiduciary Practice Management
- Module 6: Profit-Based Pricing

303 Congress St. Boston, MA 02210 Phone: 617-723-6400 Fax: 617-624-7200



Module 7: Overseeing a Fiduciary Practice

TIME REQUIRED FOR THE COURSE

- > 3 5 hours for advisors who are experienced wealth managers
- Certification requires a proctored exam that takes 30 minutes

PRICING

Individual rate: \$350

Group rates:

• 10 candidates: \$2,500

• 100 candidates: \$20,000

- Unlimited use for 12 months: \$100,000 (includes private label packaging for use on service provider Website)
- Customization and integration with a firm's offerings are done at cost

DALBAR, Inc. 303 Congress St. Boston, MA 02210 Phone: 617-723-6400 Fax: 617-624-7200