BICE Self Study for IRAs and ERISA Plans

Background, Alternatives and Implementation

Goal of the Course

- ❑ Enable the decision for the best way to implement BICE
- ❑ Provide guidance and tools for the transition to BICE
- ❑ Maintain and increase compensation under BICE
- ❑ Minimize the time and cost of complying with BICE

Who should take the course?

- ✓ Financial advisors and all who service or support IRA and/or ERISA plans.

Benefits of taking the course:

- ❍ Retain existing clients at a higher standard of care
- ❍ Increase profitability of loss leader business
- ❍ Use BICE as a new engine of business growth
- ❍ DALBAR certification as Qualified Best Interest Expert

Course content:

Module 1: Background and Basis for BICE
Module 2: Changes, Opportunities and Threats of BICE
Module 3: Summary of BICE Regulations
Module 4: BICE Business Models and Pricing
Module 5: BICE Implementation Guide
Module 6: Managing BICE Risks
Module 7: Transitioning to BICE

Time required for the course:

- ❑ 5 – 8 hours for advisors
- ❑ Certification requires an exam that takes 30 minutes

Pricing:

Introductory Rate: *The Individual Rate for BICE Self Study will be $200 for all candidates who enroll before the BICE regulation takes effect.*

1. **Individual Rate:** $**350**
2. **Group Rates:**
   - 10 candidates: $**2,500**
   - 100 candidates: $**20,000**
3. **Unlimited use for 12 months:** $100,000 (includes private label packaging for use on service provider Website)
4. **Customization** and integration with a firm's offerings are done at cost

DALBAR, Inc. | 303 Congress Street | Boston, MA 02210
Phone: 617-723-6400 | www.dalbar.com | Fax: 617-624-7200