



## RF™ Self Study for 401(K) and Similar Plans Supporting Professionals Committed to a Superior Standard of Care

### GOALS OF THE COURSE

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- Enable professionals to operate at a superior standard of care
- Make a compelling case for choosing professionals with an RF™
- Comply with present and future regulations
- Maintain a profitable business with rising revenue
- Maximize the market value of the professional practice

### WHO SHOULD TAKE THE COURSE?

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- Advisors, fiduciaries, and those who aspire to be ERISA fiduciaries, including 3(38) managers, 3(21) advisors and 408(g) fiduciary advisers.

### BENEFITS OF TAKING THE COURSE

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- Differentiate the practice from less qualified professionals
- Increase capacity to add new business
- Qualify to become a Registered Fiduciary (RF™)
- DALBAR certification of ERISA fiduciary knowledge

### COURSE CONTENT

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- Module 1: Standards of Care
- Module 2: What RF™ Means to the RF™ and to Clients
- Module 3: Regulatory Environment
- Module 4: RF™ Business Models and Pricing
- Module 5: RF™ Quality of Service
- Module 6: Managing Fiduciary Risks with RF™



- Module 7: Process of Transitioning to RF™

#### **TIME REQUIRED FOR THE COURSE**

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- 5 – 8 hours for advisors who are familiar with 401(k)
- Certification requires a proctored exam that takes 30 minutes

#### **PRICING**

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- Individual rate: \$350
- Group rates:
  - 10 candidates: \$2,500
  - 100 candidates: \$20,000
- Unlimited use for 12 months: \$100,000 (includes private label packaging for use on service provider Website)
- Customization and integration with a firm's offerings are done at cost